Developing Classroom Assessment Techniques

What are Classroom Assessment Techniques
Classroom Assessment Techniques (CATs) are simple, in-class activities designed to give you and your students useful feedback on learning as it is happening. CATs answer the following questions:

• What have students learned?
• To what extent have they learned it?
• How many students are learning?

While typically used for formative assessment, which evaluates students’ abilities while they are still learning in the course, CATs can also be useful for summative assessment, which evaluates students’ abilities as they are about to complete a course (or at the end of a unit).

Why should I use CATs?
CATs can be used to improve teaching and learning that occurs in class. More frequent use of CATs can:

• Provide just-in-time feedback about the teaching-learning process
• Provide information about student learning with less work than traditional assignments (tests, papers, etc.)
• Encourage the view that teaching is an ongoing process of inquiry, experimentation, and reflection
• Help students become better monitors of their own learning
• Help students feel less anonymous, even in large courses
• Provide concrete evidence that the instructor cares about learning

How Do I Choose a CAT?
Results from CATs can guide teachers in adjusting curriculum and teaching methods for optimal learning. Here is a process for using CATs:

1. Decide what you want to assess about your students’ learning from a CAT.
2. Make sure the CAT is appropriate for the learning outcome. Be sure it is assessing what you want it to assess.
3. Choose a CAT that is consistent with your teaching style. You need to be comfortable with the assessment.
4. Choose a CAT that you can integrate into your usual class activity. Assessment does not need to be hard, so consider how a CAT can be incorporated into an existing lesson plan.
5. Make sure that the CAT is reasonably simple. CATs don’t have to be difficult to design, for students to complete, or for us to analyze.
6. Make sure the CAT will contribute to learning. Make sure it is value-added. If it won’t help students learn the content or help you determine if students are learning, it is not a good CAT.

How do I use results from CATs?
To use the data follow these steps:

- Determine if you need to review material based on student performance. If so, begin with a review of the material and a follow-up assessment.
  - Following up with a quick CAT will let students see their own improvement and indicate if more time is needed on certain concepts.
- Share results with students and get their feedback.
  - It is always important to involve students in assessment. You can get their feedback on the CAT itself. Students can also tell you why they might be struggling with certain material.
- Determine what changes are needed.
- Determine if changes are needed immediately or next time you teach the class.
  - It may be that you don’t need to change anything for this course, but that you note a change for the next semester.
- Implement change.

**How are CATs used in Formative Assessment?**

Here is an example of a CAT used for formative assessment. The SLO for this CAT is *Students will be able to differentiate between institutional assessment and classroom assessment.* The CAT being used in the Defining Features Matrix. Here is an example of a matrix (more examples are on page 6).

<table>
<thead>
<tr>
<th>Features</th>
<th>Institutional Assessment</th>
<th>Classroom Assessment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Teacher-designed and directed</td>
<td>–</td>
<td>+</td>
</tr>
<tr>
<td>Large sample sizes required</td>
<td>+</td>
<td>–</td>
</tr>
<tr>
<td>Sophisticated statistical data analysis required</td>
<td>+</td>
<td>–</td>
</tr>
<tr>
<td>Standardized and validated instruments preferred</td>
<td>–</td>
<td></td>
</tr>
<tr>
<td>Focused on classroom teaching and learning</td>
<td>–</td>
<td>+</td>
</tr>
<tr>
<td>Replicable and comparable</td>
<td>+</td>
<td>–</td>
</tr>
<tr>
<td>Useful to students and teachers</td>
<td>–</td>
<td>+</td>
</tr>
<tr>
<td>Useful to administrators</td>
<td>+</td>
<td>–</td>
</tr>
<tr>
<td>Aims to improve quality of higher education</td>
<td>+</td>
<td>+</td>
</tr>
</tbody>
</table>

CATs for formative assessment can be given at the start of a lesson/unit to assess students’ current knowledge. This can inform the discussion by indicating common misunderstandings of the differences. The CAT can also be used to assess learning at the end of class session to inform remaining areas of misunderstanding for students. This data helps instructors structure any needed follow-up on the course material. When you use CATs for formative assessment, you can use the same CAT multiple times. You can also add knowledge elements throughout a unit.

**How are CATs used in Summative Assessment?**

CATs can be used for summative assessment. The Defining Feature Matrix can be included in the final course exam with basic and advanced elements to assess learning of this concept.
throughout the course. The matrix could be changed to an essay question or application question for summative assessment rather than a matrix.

How do I design a CAT?

1. **Determine what you want to assess.**
   You want to assess a particular learning outcome, which can be either a unit-level or a course level outcome.

2. **Pick your CAT**
   There are hundreds of CATs to choose from, and you can adapt any for your own needs. Look through the CAT KIT on page 5 to find a good CAT. You can find more CATs in Angelo, T. A., & Cross, K. P. (1993). *Classroom assessment techniques: A handbook for college teachers* (2nd ed.). San Francisco: Jossey-Bass Publishers

3. **Determine if the CAT meets the following parameters:**
   - Is it appropriate to your student learning outcome?
   - Can you integrate it into your usual class activity?
   - Is it reasonably simple?
   - Will it contribute to learning?

4. **Decide if you are using the CAT for formative or summative assessment, or both.**
   This will help you determine how you design the CAT.

5. **Design your CAT.**
   Use the examples provided in the CAT KIT on page 5 to design your CAT.

   **Five Suggestions for a Successful Start with CATs**

1. **If a CAT does not appeal to your intuition and professional judgement, don’t use it!**
   There are so many CATs out there that you can easily find one you like. You can also create your own!

2. **Don’t make classroom assessment into a self-inflicted chore or burden.**
   Start simple, with quick and easy CATs, and stay simple for a long time while you build your skills.

3. **Don’t ask your students to use a CAT that you have not previously tried on yourself.**
   Trying out the CAT on yourself tells you if the CAT is appropriate, where problems may occur, and if you need to make modifications before taking it to your classroom. Testing it also lets you know how long it will take during class.
4. **Allow for more time than you think you will need to carry out and respond to the assessment.**

Administering CATs, especially the first time, is likely to take twice the time you think. This shouldn’t be a deterrent, just plan accordingly.

5. **Make sure to “close the loop” by letting students know what you learn from their feedback and how you and they can use that information to improve learning.**

Students will realize the value of assessment if they know the results. They are also more likely to participate fully if they see how the results can help improve their own learning.

The CAT KIT

To get started on using CATs, this CAT KIT includes six pre-selected CATs that can be used for formative and summative assessment of learning. They can also be used in different ways such as embedded into a class session, done as part of a quiz or test, used in groups, or taken home. The CATs in this section include:

- One Sentence Summary
- Defining Features Matrix
- Misconception/Preconception
- What’s the Principle?
- Minute Paper
- Directed Paraphrasing

Each CAT includes procedures for creating the CAT, Pros and Cons of the CAT, using the data, adapting and expanding the CAT, and tips for using the CAT.

One Sentence Summary

The One Sentence Summary (OSS) challenges students to answer the questions “who does what to whom, when, where, how, and why?” about a given topic, and then to synthesize those answers into a single summary sentence.

Procedure for Creating a OSS

1. Select an important class topic or work that you expect student to learn to summarize.
2. Quickly answer “who did/does what to whom, when, where, how, and why?” Note how long it took you to answer.
3. Turn that answer into a sentence. Note how long it took you to write the sentence.
4. Allow your students twice as much time as it took you to carry out the task and give them clear directions on the One-Sentence Summary technique before you announce the topic.

Pros & Cons

Pros
- Quick and easy way to assess student’s ability to summarize a topic.
- Good technique for helping students grasp complex processes and explain them in nontechnical language.
- Requires students to organize the information within a useful, memorable framework making it easier to recall.

Cons
- Some material cannot easily be summarized because some questions will have more than one answer.
Some instructors and students may feel that putting lesson material into one sentence oversimplifies the material.

**Making Data Actionable**

The easiest way to organize the data from OSS is to draw lines between the focus elements, separating the questions of who did/does what to whom, when, where, how, and why (you can have students do this to make analysis faster). As you separate the components of the sentence, evaluate the quality of each by writing a zero, a check mark, or a plus above the element. Zero indicates an inadequate or incorrect element; the check means adequate; and the plus sign indicates a more than adequate answer.

You can then make a simple matrix to represent the whole class’s responses, with the questions as column heading and the three marks (zero, check, plus) as row heading. When you have totaled the responses, insert the totals in the cells of the matrix and look for patterns of strength and weakness in the responses. For example, the totals can tell you whether your students are better at answering who and what questions than how and why questions.

**Adapting & Expanding the OSS**

- After the original sentences are discussed, ask students to turn the one-sentence summaries into concise, informative two or three-sentence summaries. Ask students to share summaries in pairs or groups.
- Give students time to work in pairs or small groups to critique and improve each other’s summaries, either before handing them in or after getting them back.
- Use the OSS multiple times to summarize different chapters of the same book or different facets of the same subject. Then ask students to summarize the entire book or subject in one paragraph by rewriting and linking their individual single-sentence summaries.

**Tips for the OSS**

- Don’t ask students to write an OSS unless you have first determined that you can coherently summarize the topic in one sentence.
- Limit the topic so that the summary task will deal with a manageable part of the topic. For example, if there are several main characters and actions in a chapter to be summarized, limit the OSS to a specific character.
- Encourage students to make their sentences grammatical, factually accurate, complete, and original. But tell them not to be disappointed if the sentences aren’t elegant!

**Defining Features Matrix**

The Defining Features Matrix (DFM) asks students to categorize a concept according to the presence (+) or absence (-) of important defining features. This provides data on student’s analytic reading and thinking skills. The DFM assesses students’ skills at categorizing important information according to a given set of critical defining features. Faculty can quickly see how well students can distinguish between similar concepts, and it helps learners identify and make explicit the critical distinctions between such concepts.

**Procedure for Creating a DFM**
1. Focus the matrix on two or three important concepts that are similar enough to confuse your students.
2. Determine which features of these concepts are most critical for the students to recognize.
3. Make a list of defining features that each concept either clearly does or does not possess. After creating that list, consider adding a limited number of shared features.
4. Sketch out a matrix with features listed down the left side and concepts across the top (or vice versa).
5. Check to see that each cell in the matrix can be reasonably responded to with a plus or a minus sign (or a yes or no). If you cannot give either/or responses to the cell, that feature should be removed.
6. Draw up a finished matrix and give copies to your students, or if it is simple, have them copy it from a slide or the board.
7. Clearly explain the purpose of the matrix and the directions for filling it in, as well as a time limit for completing it.

**Pros & Cons**

**Pros**
- Quick way to check students’ skills at distinguishing between concepts that are easily confused and to pinpoint areas of confusion.
- Helps students and instructors break down (analyze) complex comparisons into manageable component parts.

**Cons**
- Requires careful and thoughtful preparation and may be time consuming.
- Can become a low-level assessment of recall skills if students do not understand that the purpose is to help them see patterns.

**Making Data Actionable**

It’s relatively easy to compare the students’ matrices with your master copy. You can scan them one by one, indicating incorrect responses on each students’ matrix with an X and keep a running tally of incorrect responses on a larger copy of the matrix with empty cells. Or, more simply, you can just count all of the plusses and minuses for each cell and tally them on an oversized copy. Look for those cells where several students made the wrong choice and see whether there are patterns in the errors. Are students paying more attention to certain features than to others? Are they failing to notice defining differences of specific kinds that would be obvious to an expert?

**Adapting & Expanding the DFM**
- Present students with sample DFM on a familiar course-related topic. Then ask them to create their own matrices to define concepts or items related to different important topics covered in the course.
- Work up to matrices that allow for more than simply binary responses in the cells. For example, for certain topics, the features might be more appropriately categorized as “always present,” “often present,” “rarely present,” and “never present.”
Tips for the DFM

- Try to keep the features in the matrix parallel in kind or in level of importance.
- Avoid overload by not including more than two or three concepts or seven to ten defining features in a matrix, at least in the first few applications of this technique.

Misconception/Preconception Check

The Misconception/Preconception Check (MPC) assesses student’s prior knowledge, focusing on uncovering prior knowledge or beliefs that may hinder or block further learning. This CAT can uncover specific instances of incorrect or incomplete knowledge, attitudes, or values that represent barriers to new learning.

Procedure for Creating a MPC

1. Identify some of the most troublesome common misconceptions or preconceptions students bring to your course. Brainstorming this question with colleagues can be effective.
2. Select a handful of these ideas and beliefs – ones you feel are likely to interfere most with learning in your course – and focus the MPC on those ideas and beliefs.
3. Create a simple questionnaire to elicit information about students’ ideas and beliefs in these areas. You can use multiple choice or short answer formats. Short answer can uncover more useful information but may compromise anonymity. Multiple choice is safer and easier to analyze.
4. Have another faculty member read your questions and make sure they do not seem patronizing, threatening, or obvious.
5. Before giving the questionnaire, think through how you will respond to several likely outcomes. Strike any questions or topics you do not feel prepared to deal with.
6. Explain your reasons for using this CAT to students, make sure the anonymity of their responses is ensured, and announce when and how you plan to use their feedback.

Pros & Cons

Pros
- Gives instructors a quick way to uncover likely barriers to learning and thus to prepare to meet and overcome them.
- Anonymity means students are likely to reveal their own ideas and beliefs.
- Students may be relieved to learn that they are not alone in being mistaken or unclear about a topic. Feedback can provide reassurance.
- Collecting this CAT can be useful for making program changes. If you see the same misconceptions, you can start conversations in your department about prior learning in prerequisites.

Cons
- No one likes having his or her certainties questioned; this can be uncomfortable for students.
Making Data Actionable
Analyzing feedback from this CAT can answer one or more of the following questions: what misconceptions or preconceptions do students have about course material that might interfere with their learning? How many of the students have them? How deeply embedded are these ideas or beliefs?
To answer the first two questions, you can quickly organize the responses into rough categories by type of misconception or preconception, and then tally them. You can best answer the third question by collecting information on the students’ degree of certainty or strength of beliefs. As you tally responses, look for patterns within and across items. For example, watch for questions or topics on which the students’ responses are clearly divided.

Adapting & Expanding the MPC
- To encourage candid responses, begin by asking students to identify common misconceptions and preconceptions they think other people have about the topic or field.
- Have students work in teams to come up with “reasonable” explanations or justifications for the misconceptions uncovered through the assessment.
- Give the same questionnaire later in the term – after your instruction – to see what, if anything has changed and how.

Tips for the MPC
- You must tread lightly when dealing with potentially sensitive issues if you want students to open up enough to risk having their assumptions challenged.
- Do not use this technique to focus on issues that students may find personally threatening until a climate of trust and civility has been established in the class.

What’s the Principle?
What’s the Principle (WTP) assesses students’ ability to associate specific problems with the general principles used to solve them. Responses to this CAT tell faculty whether students understand how to apply basic principles of the discipline. It also helps students organize the general types of problems they can solve with particular principles, rather than merely learning how to solve individual problems.

Procedure for Creating a WTP
1. Identify the basic principles that you expect students to learn in your course. Make sure to focus only on those that students have been taught.
2. Find or create sample problems or short examples that illustrate each of these principles. Each example should illustrate only one principle.
3. Create a WTP form that includes a listing of the relevant principles and specific examples or problems for students to match to those principles.
4. Try out your assessment on a graduate student or colleague to make sure it is not too difficult or time consuming.

Pros & Cons
• Simple and quick way to get useful information on the complex skill of recognizing general principles embodied in or violated by specific examples.
• Provides students with quick feedback on their level of skills at moving between the general and the specific.

Cons
• Students may see this as a simple matching assignment unless they understand that the point is to develop skill at deciding what principles to apply in dealing with new and unfamiliar problems.
• Skill in identifying principles and problems does not translate directly into actually solving the problem.

Making Data Actionable
The WTP form is easy to score. Simply tally the number of right and wrong answers, and note patterns in the specific wrong answers given. If you find lots of wrong answers and no sensible patterns, students are probably guessing!

Adapting & Expanding WTP
• Provide students with only the principles, and ask them to come up with good and bad examples of applications.
• Give students only the examples, and assess their ability to recall important principles, as well as to apply them.
• Follow up by asking students to justify each of their choices of principles in a sentence or two.

Tips for the WTP
• This is not a great CAT for beginners because they haven’t seen enough examples and worked enough problems to generalize effectively. It works best with intermediate students.
• Students are not typically taught that connecting principles with problems is a skill, and you may have to teach it before you can assess it.

Minute Paper
The Minute Paper (MP) provides a quick and easy way to collect written feedback on student learning. The MP provides manageable amounts of timely and useful feedback with a minimal investment of instructor or class time. The MP can provide feedback on two basic questions – “What was the most important thing you learned during this class?” and “What important question remains unanswered?” You can also ask more specific question about the course material.

Procedure for Creating a MP
1. MPs work well at the beginning or end of class, serving as warm-up or wrap-up activities. Determine your focus so you know when to administer the MP. If you want to
focus on understanding of a particular class session, give the paper at the end of class. If you want to focus on student understanding of a homework assignment, give the paper at the start of the class session during which students are expected to turn in their homework.

2. Start with the two basic questions such as “What was the most important thing you learned during this class?” and “What important question remains unanswered?” You can also use more specific questions related to specific course content.

3. Plan to set aside five to ten minutes for the MP.

4. Display the questions on a board or projector. You could pre-print the questions onto half sheets. You can also have students submit responses electronically in Canvas before or after class.

**Pros & Cons**

**Pros**
- Immediate course feedback that allows for a quick response to students.
- Analyzing the responses is quick.
- Demonstrates faculty interest in student work, which is evident to the student.

**Cons**
- If overused or poorly used, students will not see value in the CAT.
- It is more difficult than it may seem to prepare questions that can be immediately and clearly comprehended and quickly answered.

**Making Data Actionable**
Tabulating the responses and making note of useful comments is often all you need to do with the MP. Consider saving these to compare responses from midterm and final. Comparing over time can allow you to see changes and development in the clarity of students’ writing and thoughtfulness of answers.

**Adapting & Expanding MP**
- Use only half of the paper – only ask for the most important point or for their questions. When you ask for only their questions, you are doing a new CAT called a Muddiest Point.
- Allow students to compare and discuss their responses with classmates in pairs or small groups.

**Tips for the MP**
- This technique is flexible but not universally applicable. Don’t overuse it!
- Responding to MP may take longer than planned. Set limits on how much time you will spend on feedback.
- Let students know that you may not comment on everything they write.
Directed Paraphrasing

Directed Paraphrasing (DP) helps students develop the skill of summarizing specialized information into general language. This CAT provides feedback to students on their ability to summarize and restate important information or concepts in their own words. It allows faculty to assess students’ understanding of important concepts that they will later be expected to explain to others.

Procedure for Creating a DP

1. Select an important theory, concept, or argument that students have studied in some depth. This should be a topic with some implications outside the classroom.
2. Determine who would be a realistic yet challenging audience for a paraphrase on this topic, what the purpose of such a paraphrase should be, and how long (in number or words or amount of speaking time) the DP should be.
3. Try responding to the paraphrase yourself, to see how realistic the assignment is. Can you write an effective paraphrase within the limits given?
4. Direct students to prepare a paraphrase on the chosen topic. Tell them who the intended audience is, what the purpose is, and what the limits are on speaking time or number of words or sentences.

Pros & Cons

Pros

- Builds on and builds up students’ skills in actively and purposefully comprehending and communicating information learned.
- Allows instructors to find out quickly and in detail how well students have understood a given lesson, lecture, or segment of the course. This provides direction for instruction and syllabus revision.
- Encourages instructors and students to consider the wider relevance of the subject being studied and the importance of considering the needs and interests of the audience being addressed.

Cons

- Unless strict length limits are enforced, DP can take considerable time and effort to assess adequately.
- Can be difficult to establish criteria for a good paraphrase.

Making Data Actionable

Begin by separating the responses into four piles (e.g. confused, minimal, adequate, excellent). Assess the responses by comparing them within and across categories. Pay particular attention to three characteristics of the response: the accuracy of the paraphrase, suitability for the intended audience, and effectiveness in fulfilling the purpose.

You can also circle the clearest and muddiest points in each paraphrase, using different colored pens, and then look for common patterns of clarity and confusion. Consider giving students a checklist of the strong and weak points of her or his response.

Adapting & Expanding DP
Direct students to paraphrase the same topic for two very different audiences, and then to explain in detail the differences between the two paraphrases.

Ask students to keep a journal of paraphrases as a summary of important topics in the course.

Have different students paraphrase different reading assignments or lectures and then ask them to share those with other members of the class.

**Tips for the DP**

- To be effective the DP must be well planned. The choice of audience and purpose are particularly important.
- You’ll need to use this CAT more than once during a course if students are to learn from the process.
Need help creating/revising SLOs?
Contact Lyda McCartin (lyda.mccartin@unco.edu) in the UNC Assessment Office.

References used to create classroom assessment technique resource


Center for Teaching, Vanderbilt University https://cft.vanderbilt.edu/guides-sub-pages/cats/

Resource created by Lyda McCartin.